



Exchange Regulation

**COMMUNIQUÉ NO. 3/2016
OF 1 APRIL 2016**

Amendments to regulations in line with new financial market legislation

I. BACKGROUND

Switzerland's new financial market legislation, namely the Financial Market Infrastructure Act (FMIA), the Financial Market Infrastructure Ordinance (FMIO), and FINMA's Financial Market Infrastructure Ordinance (FMIO-FINMA), came into force on 1 January 2016. In [Communiqué No. 1/2016](#), we provided information on the amendments to the rules and regulations of the trading venues operated by SIX that this new legislation necessitates. In addition to the material changes that will be made to the trading regulations, in particular, and communicated in due course, formal amendments must be made to a series of other regulations.

II. FORMAL AMENDMENTS AS OF 1 APRIL 2016

The necessary amendments of a formal nature will be made to the rules and regulations concerned with effect from 1 April 2016. 'Formal amendments' refers to links and passages of text which previously referred to the Stock Exchange Act and its Ordinances, but which are now being updated to refer to FMIA, FMIO and FMIO-FINMA instead.

The following rules and regulations are being amended:

- Listing Rules (LR)
- Additional Rules Derivatives (ARD)
- Scheme A - Equity Securities
- Scheme B - Investment Companies
- Scheme C - Real Estate Companies
- Scheme D - Global Depository Receipts
- Directive Track Record (DTR)
- Directive Distribution Equity Securities (DDES)
- Directive Foreign Companies (DFC)
- Directive Delisting (DD)
- Directive Corporate Governance (DCG)
- Directive Financial Reporting (DFR)
- Directive Electronic Reporting and Publication Platforms (DERP)

- Directive Regular Reporting Obligations (DRRO)
- Rules SIX Swiss Exchange-Sponsored Foreign Shares Segment (RSFS)
- Rules Sponsored Investment Fund Segment (RSIF)
- Rules International Bonds (RIB)
- Rules Trading Delisted Bonds (RTDB)
- Regulatory Bodies Organisation Rules (RBOR)
- Internal Regulations (IRRB)
- Rules of Procedure (RP)
- Appeals Board Rules (ABR)
- Rule Book of SIX Swiss Exchange Ltd
- Reporting Office Rules for the Fulfilment of the Legal Reporting Requirements for Securities Dealers on SIX Swiss Exchange Ltd
- Rule Book for SIX Structured Products Exchange Ltd

The other rules and regulations are not affected because they do not contain any passages requiring amendment.

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