



Exchange Regulation

**COMMUNIQUÉ NO. 3/2015
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Express charges for the registration and reissuance of bonds and derivatives programmes

In view of forthcoming reissues and registrations of issuance programmes for bonds and derivatives, SIX Exchange Regulation would like to remind that applications for the first-time registration or the reissue of issuance programmes must be submitted 20 exchange days prior to the target registration date (Art. 15 para. 2 Additional Rules Bonds, ARB and Art. 22 para. 2 Additional Rules Derivatives, ARD).

If applications for the registration and reissue of issuance programmes for bonds and derivatives are not submitted by the set deadline SIX Exchange Regulation may, without prior notice, levy an express charge of a maximum of CHF 20,000 (List of Charges, Point 9.5).

The deadline of 20 exchange days is met with the submission of the application, including the draft issuance programme.

In line with common practice, to ensure that deadlines are met SIX Exchange Regulation will also accept submissions of applications for the registration and reissue of issuance programmes that are submitted by issuers or their representatives by e-mail. In such cases, please note that applications must always be sent to **listing@six-group.com**. The sender of the e-mail is responsible for ensuring that SIX Exchange Regulation has received the application, for example by requesting confirmation of receipt. The application, bearing the original signatures and appendices, must then be submitted on paper.

The practice described above has also been incorporated into Circular No. 3 (CIR3) - Practice for the Listing of Derivatives.

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