



Exchange Regulation

**COMMUNIQUÉ NO. 2/2015
OF 23 FEBRUARY 2015**

Use of CONNEXOR Events to be made compulsory for the fulfilment of regular reporting obligations for derivatives

For some time now, it has been possible to fulfil certain regular reporting obligations pertaining to derivatives, as laid down in the Directive Regular Reporting Obligations (DRRO) either by submitting an Official Notice by e-mail, or by using CONNEXOR Events. Many issuers are already using CONNEXOR Events.

In view of this level of usage, SIX Exchange Regulation is to make submissions via CONNEXOR Events **compulsory as of 1 July 2015** for the fulfilment of the reporting obligations listed below. From 1 July 2015 onwards, it will no longer be possible to fulfil these reporting obligations by means of an Official Notice sent by e-mail.

DRRO	CONNEXOR Events
Annex 3, point 2.02: Increase or reduction in number of securities	INDC (Increase or Decrease of Issue)
Annex 3, point 2.03: Achievement of threshold values that might affect the price or valuation of the derivative (e.g. in the case of barrier options)	BREV (Barrier Events)
Annex 3, point 2.07: Early termination by issuer (where provided for in the terms and conditions)	ERDM (Early Redemption)

For more information on CONNEXOR Events, please contact the Connexor Service Desk (e-mail: connexor@six-group.com; tel: +41 58 399 33 66), or visit the following link: https://www.six-swiss-exchange.com/issuers/services/connexor/services/events_en.html.

The SIX Exchange Regulation Communiqués are published in English, German and French on the internet at:

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