



Exchange Regulation

**COMMUNIQUÉ NO. 1/2015  
OF 26 JANUARY 2015**

***Launch of the new BPS Reporting Application to report admissible behaviours  
pursuant to Articles 55b and 55e SESTO***

Since 1 May 2013, Articles 55a to 55g of the revised Stock Exchange and Securities Trading Ordinance (SESTO) have determined the cases in which behaviours which fall under Article 33e (1) ("Exploitation of insider information") and 33f (1) ("Market manipulation") of the Stock Exchange and Securities Trading Act (SESTA) are admissible.

For the behaviours listed below to be exempted from the ban on the exploitation of insider information and market manipulation, it must be reported specifically to the Exchange.

- Art. 55b (1) (f) (1) SESTO "Sales of own equity securities during the buyback program"
- Art. 55b (1) (h) SESTO "Individual repurchases of own equity securities as part of the buyback program"
- Art. 55e (e) SESTO "Price stabilisation after a public placement of securities"

Pursuant to SIX Exchange Regulation Communiqué No. 1/2013, these reports have to date been made via e-mail to **buyback@six-group.com** and **price-stabilisation@six-group.com**. The launch of the new SIX Exchange Regulation BPS Reporting Application means that these reports can now be transmitted to the Exchange faster and more easily.

**MIGRATION TO THE NEW APPLICATION**

The current reporting process via e-mail will be replaced by the new BPS Reporting Application during a migration period that will run from 26 January to 31 March 2015. Both reporting processes will be available in parallel during this migration period.

From **1 April 2015** onwards, all reports must be submitted using the BPS Reporting Application. Notifications via e-mail will be invalid as of this date.

**ACCESS TO THE NEW REPORTING INFRASTRUCTURE**

Access authorisations for the new web-based BPS Reporting Application must be activated by SIX Exchange Regulation for both new and existing master users.

**Whatever your present status, please contact us at [sve-msi@six-group.com](mailto:sve-msi@six-group.com) / +41 (0)58 399 49 99.**

Once access authorisation has been granted, existing master users will be able to access the new BPS Reporting Application via "Login" in the Member Section.

#### NEW MASTER USERS

New master users will have a group name allocated by SIX Exchange Regulation. These master users must then register and be authorised. Please observe the following steps:

- Go to the SIX Swiss Exchange website (<http://www.six-swiss-exchange.com/>)
- Click on "Participants"
- Click on "Member Section"
- In the menu on the left, click on "Request for User Account"
- Complete the relevant form with the details of the new master user

Once the master user has been registered, you will receive an e-mail confirming that your account has been activated. You can then access the new BPS Reporting Application immediately.

- Go to the SIX Swiss Exchange website (<http://www.six-swiss-exchange.com/>)
- Click on "Participants"
- Click on "Member Section"
- Log in with your user name and password
- Go to "BPS Reporting" in the menu, then click "Start BPS Reporting Application"

#### OTHER USERS

Master users with access authorisation for the new BPS Reporting Application are able directly to authorise further users within their company to use the new application. These other users can register using the same process, and are then activated by the master user responsible for them.

#### SUPPORT AND CONTACTS

Please do not hesitate to contact us if you have any questions about the new BPS Reporting Obligation. We can be reached at:

SIX Exchange Regulation  
**[sve-msi@six-group.com](mailto:sve-msi@six-group.com)**  
+41 (0)58 399 49 99

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