



Exchange Regulation

**COMMUNIQUÉ NO. 4/2012  
OF 30 OCTOBER 2012**

***Audit of participants of SIX Swiss Exchange and Scoach Switzerland***

The annual audit of participants of SIX Swiss Exchange and Scoach Switzerland has been simplified and rationalized.

As a result of this review process, the number of audit items has been reduced and the alternating audit program discontinued. The new requirements for participant audits already apply to **2012 calendar year** audits.

We wish to advise participants of SIX Swiss Exchange and Scoach Switzerland and their independent auditors that the new documentation relating to annual participant audits (Guidelines, Overview of examination points and Sample report) is now available on the SIX Exchange Regulation website:

[http://www.six-exchange-regulation.com/obligations/participants/audit\\_en.html](http://www.six-exchange-regulation.com/obligations/participants/audit_en.html)

If you have any questions or require further information, please contact:

Enforcement & Compliance at: **[sveenc@six-group.com](mailto:sveenc@six-group.com)**

The SIX Exchange Regulation Communiqués are published in English, German and French on the internet at:

[http://www.six-exchange-regulation.com/publications/communiques/six\\_exchange\\_regulation\\_en.html](http://www.six-exchange-regulation.com/publications/communiques/six_exchange_regulation_en.html)

[http://www.six-exchange-regulation.com/publications/communiques/six\\_exchange\\_regulation\\_de.html](http://www.six-exchange-regulation.com/publications/communiques/six_exchange_regulation_de.html)

[http://www.six-exchange-regulation.com/publications/communiques/six\\_exchange\\_regulation\\_fr.html](http://www.six-exchange-regulation.com/publications/communiques/six_exchange_regulation_fr.html)

