



Exchange Regulation

**COMMUNIQUÉ NO. 6/2010  
OF 16 JULY 2010**

***Audit of SIX Swiss Exchange / Scoach Switzerland participants for calendar year 2010***

I. DOCUMENTS ON INTERNET

The updated documents concerning the participants' audit for the calendar year 2010 were posted on the SIX Exchange Regulation website on 1 July 2010. The scope of the participant audit essentially remains the same. In terms of content, however, it has been adjusted in line with the Rule Book that came into force on 1 April 2010 and the new SIX Swiss Exchange Directives. As regards Scoach Switzerland, the audit programme may be subject to further small changes, since Scoach Switzerland's rules and regulations are currently being brought into line with those of SIX Swiss Exchange.

II. AUDIT REPORT 2010

The audit report for the calendar year 2010 must be submitted to SIX Exchange Regulation, Enforcement & Compliance, by **31 March 2011** at the latest.

All of the documents relevant to the participant audit can be found online at [http://www.six-exchange-regulation.com/obligations/participants/audit\\_en.html](http://www.six-exchange-regulation.com/obligations/participants/audit_en.html).

**SIX Exchange Regulation**

SIX Exchange Regulation executes the duties laid down in federal law and the rules issued by the Regulatory Board, as well as monitoring compliance with them. It imposes sanctions where regulations allow or submits sanction requests to the SIX Swiss Exchange Sanction Commission.

SIX Exchange Regulation reports directly to the Chairman of the SIX Group Board of Directors, thus ensuring its independence from SIX Swiss Exchange's business operations. It is split into two departments: Listing & Enforcement is responsible for the rules governing issuers, while Surveillance & Enforcement oversees trading.

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