



Exchange Regulation

**COMMUNIQUÉ NO. 3/2009  
OF 23 JULY 2009**

***2009 Participant Audit***

I. DOCUMENTS ON INTERNET

On 1 July 2009, the updated documents concerning the participant audit for the 2009 calendar year were uploaded to the new Website of SIX Exchange Regulation. In terms of content, however, no changes have been made to the substance of the audit or the individual audit points, but more detailed information on individual audit points has been provided in the guidelines.

All relevant documents in connection with the participant audit are available on the Internet via the following link: [http://www.six-exchange-regulation.com/obligations/participants/audit\\_en.html](http://www.six-exchange-regulation.com/obligations/participants/audit_en.html)

II. AUDIT REPORT 2009

The audit report for the 2009 calendar year must be submitted to SIX Exchange Regulation at latest by **31 March 2010**.

The SIX Exchange Regulation Communiqués are published in English, German and French on the internet at:

[http://www.six-exchange-regulation.com/publications/communiques/six\\_exchange\\_regulation\\_en.html](http://www.six-exchange-regulation.com/publications/communiques/six_exchange_regulation_en.html)

[http://www.six-exchange-regulation.com/publications/communiques/six\\_exchange\\_regulation\\_de.html](http://www.six-exchange-regulation.com/publications/communiques/six_exchange_regulation_de.html)

[http://www.six-exchange-regulation.com/publications/communiques/six\\_exchange\\_regulation\\_fr.html](http://www.six-exchange-regulation.com/publications/communiques/six_exchange_regulation_fr.html)

