

Regulatory Board Communiqué No. 1/2018

of 1 February 2018

Directive on the Use of the Electronic CONNEXOR Listing Enhancement Platform for the Listing of Derivatives

*Decision of the Regulatory Board: 8 June 2017
Effective from: 1 March 2018*

I Background

Since 2011, issuers have been able to submit listing applications for derivatives electronically, via the on-line CONNEXOR Listing Enhancement application.

The use of CONNEXOR Listing Enhancement is conditional upon the conclusion of a user agreement, and general provisions, between the issuer and SIX Swiss Exchange Ltd. This user agreement and the general provisions have now been incorporated into the Directive on the Use of the Electronic CONNEXOR Listing Enhancement Platform for the Listing of Derivatives (Directive CONNEXOR Listing Enhancement [DCL]). Compared with the provisions of the user agreement and the general provisions, the Directive includes some minor amendments of a formal nature. These do not have any material effect on the use of CONNEXOR Listing Enhancement, however. No action is required on the part of the users of the CONNEXOR Listing Enhancement service.

The introduction of the new Directive necessitates an amendment to the Additional Rules for the Listing of Derivatives (ARD). The addition of Art. 30^{bis} ARD creates the regulatory foundation for the introduction of the Directive CONNEXOR Listing Enhancement.

II Entry into force

The amended Additional Rules for the Listing of Derivatives, and the new Directive CONNEXOR Listing Enhancement, enter into force on **1 March 2018**. The entry into force of the Directive, and the use of the electronic CONNEXOR Listing Enhancement platform, render the user agreement and the general provisions obsolete.

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