

## ADMISSION BOARD COMMUNIQUÉ NO. 4/2008 OF 11 APRIL 2008

### ***Inclusion of foreign issuers with a primary listing in the Swiss Performance Index SPI®***

### ***Introduction of a new reporting obligation for foreign issuers with a primary listing at SWX Swiss Exchange Limited***

*Entry into force: 1 May 2008*

#### I. BACKGROUND

On 5 December 2007, the Index Commission recommended that, subject to certain conditions, **issuers domiciled outside Switzerland whose equity securities have a primary listing at SWX Swiss Exchange Limited** be included in the Swiss Performance Index (SPI®). The SPI® Family Index Rules have been amended accordingly. Inclusion in the SPI® is subject to the issuer submitting an appropriate application and complying with the reporting obligation set out in Section II below within the prescribed deadlines. Decisions on inclusion in the SPI® are made by the Management Committee of SWX. The Index Commission issues recommendations.

An SWX Message and a media release are being published with today's date notifying the amendment to the SPI® Family Index Rules.

The SPI® Family Index Rules, the SWX Message and the media release can be viewed at the following links:

SPI® Family Index Rules:

[http://www.swx.com/trading/products/indices/stock\\_indices/spi/spi\\_en.html](http://www.swx.com/trading/products/indices/stock_indices/spi/spi_en.html)

SWX Message:

[http://www.swx.com/information/swx\\_messages/swx\\_messages/2008\\_en.html](http://www.swx.com/information/swx_messages/swx_messages/2008_en.html)

Media release:

[http://www.swx.com/information/media\\_releases/2008\\_en.html](http://www.swx.com/information/media_releases/2008_en.html)

#### II. INTRODUCTION OF ADDITIONAL REPORTING OBLIGATION

**Admission Board Circular No. 1** is being updated to include a new reporting obligation (Point 6.01 of Annex 1).

As of the last trading day in February, May, August and November, the issuers as specified in Section I above must submit details about the free float as per Art. 2.4.1 of the SPI® Family Index Rules and – as far as possible – about the shareholder structure, using the form provided by SWX on its website. This reporting obligation applies irrespective of the aforemen-

tioned months whenever there is a change of at least 10% in absolute terms from the figure last reported.

Issuers who do not submit this information may not be included in the SPI® or, as the case may be, will be excluded from the SPI®.

Listing or maintenance of listing is not dependent on compliance with the reporting obligation as per Point 6.01 of Annex 1 to Circular No. 1.

The revised **Admission Board Circular No. 1** is available on the Internet at:

[http://www.swx.com/admission/regulation/circulars\\_en.html](http://www.swx.com/admission/regulation/circulars_en.html)

The reporting form is available on the SWX website:

[http://www.swx.com/admission/being\\_public/reporting/forms\\_en.html](http://www.swx.com/admission/being_public/reporting/forms_en.html)

### III. ENTRY INTO FORCE

The revised Circular No. 1 enters into force on **1 May 2008**.

The Admission Board Communiqués are available on the Internet in English, German and French on the Internet at

[http://www.swx.com/admission/regulation/messages/2008\\_en.html](http://www.swx.com/admission/regulation/messages/2008_en.html)