

REGULATORY BOARD COMMUNIQUÉ NO. 3/2010 OF 30 APRIL 2010

Editorial changes to a number of listing regulations and the Rules of Procedure

Decision of the Regulatory Board of 21 April 2010

Entry into force: 1 May 2010

I. BACKGROUND

The revised trading regulations entered into force as of 1 April 2010.

The revised Rule Book harmonises the "General Conditions" and the "Transitional Rule Book for Blue Chip Trading". The content of the trading provisions and the revised directives has also been tightened up and given a clearer structure. The old trading directives have also been restructured in the same way.

Since many of the Exchange's other regulations refer to the trading regulations, editorial amendments must be made to the former to ensure that they reflect the new situation, and the references they contain are correct.

II. EDITORIAL AMENDMENTS

The following editorial amendments have been made in each case:

- the term "General Conditions" has been replaced by "Rule Book" or "Rule Book of SIX Swiss Exchange and General Conditions of Scoach Switzerland";
- references in the rules and regulations had to be amended in line with the new structure of the trading directives;
- a new article on amendments has been inserted into the Final Provisions so that the changes can be tracked chronologically in the future. In addition, the necessary adjustments have been made to the hyperlinks in the electronic versions of the rules and regulations that are available on the SIX Exchange Regulation website.

III. LIST OF AMENDED REGULATIONS

- Listing Rules:
Art. 45 para. 4 and new Art. 118 Revision
- Additional Rules for the Listing of Bonds:
Art. 16 para. 3 and new Art. 45 Revision
- Additional Rules for the Listing of Derivatives:
Art. 23 para. 3 and new Art. 44 Revision
- Rules for the Admission of Equity Securities to Trading in the SIX Swiss Exchange-Sponsored Segment:
Arts. 2, 20, 21 and new Art. 25 Revision

- Directive on the Listing Procedure for Equity Securities:
Arts. 18, 19, 21 and new Art. 25 Revision
- Rules of Procedure:
Points 1.1, 1.2 and new point 7.3 Revision

IV. ENTRY INTO FORCE

The revised rules and regulations enter into force on **1 May 2010**.

They are published on the Internet in English, German and French at:

Listing regulations:

http://www.six-exchange-regulation.com/regulation_en.html

Rules of Procedure:

http://www.six-exchange-regulation.com/enforcement/proceedings_en.html

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http://www.six-exchange-regulation.com/publications/communiques/regulatory_board_en.html

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