

REGULATORY BOARD COMMUNIQUÉ NO. 6/2009 OF 23 NOVEMBER 2009

Directive on Using the Electronic Reporting Platform for Reporting Obligations According to Circular No. 1, Annex 1

(Directive Reporting Platform Circular No. 1, DRPC)

Decision of the Regulatory Board of 14 September 2009

Entry into force: 1 January 2010

I. BACKGROUND

Under the provisions governing the maintenance of listing, issuers are obliged to transmit certain information to SIX Exchange Regulation. A new electronic reporting platform has been developed to enable the companies concerned to transmit this information more easily. The platform is available to issuers with primary-listed equity securities to submit the reports laid down in Annex 1 of Circular No. 1, Reporting Obligations Regarding the Maintenance of Listing.

The «Connexor® Reporting» platform has been live since 23 November 2009. There will be a transitional period of several months, during which listed companies may choose to submit their reports to SIX Exchange Regulation in the conventional way, i.e. via online form, by e-mail or by fax. There are plans to make a new and improved version of the electronic reporting platform available in the course of 2010. When the second version is released, the use of the electronic reporting platform will be made compulsory for issuers.

II. ISSUE OF A USAGE DIRECTIVE

The Directive on Using the Electronic Reporting Platform for Reporting Obligations According to Circular No. 1, Annex 1 (DRPC) is being issued to govern the technical details of using the electronic platform, in particular, as well as the related liability issues.

III. ENTRY INTO FORCE

Since the use of the reporting platform is **not yet compulsory** for issuers, the DRPC will enter into force in two stages:

With the **exception of Art. 1 DRPC**, all provisions of the usage directive will enter into force on **1 January 2010**.

Art. 1 DRPC, which requires issuers to use the electronic reporting platform to transmit reports in accordance with Circular No. 1, Annex 1, will not be legally binding for the time being. This provision will enter into force only when the second version of the reporting tool

is launched. This is scheduled for summer 2010. SIX Exchange Regulation will publish the corresponding communiqué in good time.

The Directive is available immediately at:

http://www.six-exchange-regulation.com/regulation/directives/being_public_en.html.

IV. FURTHER INFORMATION

Regulatory Board Communiqués are published in English, German and French on the internet at:

http://www.six-exchange-regulation.com/publications/communiques/regulatory_board_en.html

http://www.six-exchange-regulation.com/publications/communiques/regulatory_board_de.html

http://www.six-exchange-regulation.com/publications/communiques/regulatory_board_fr.html