

Media Release

14 February 2019

SIX Exchange Regulation Ltd

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Investigation against CI Com SA

SIX Exchange Regulation is opening an investigation against CI Com SA for possible violations of provisions regarding ad hoc publicity and regular reporting obligations.

SIX Exchange Regulation opened an investigation against CI Com SA on 14 February 2019 for possible violations of provisions regarding ad hoc publicity and regular reporting obligations.

According to the ad hoc publicity regulations, the investigation is related to an allegedly belated and incomplete media release, published on 2 August 2018, regarding the resignation of Mr Patrick Engler in his functions as Chairman of the Board of Directors and CFO as well as the election of his successor.

Furthermore, it is presumed that CI Com has failed to report the change of Chairman of the Board of Directors as well as the change of CFO correctly and in a timely manner via the electronic reporting platform Connexor Reporting.

The duration of the investigatory proceedings is undetermined. SIX Exchange Regulation will inform on the outcome of the proceedings. No information will be provided about the ongoing investigatory proceedings.

If you have any questions, please do not hesitate to contact Julian Chan, Deputy Head Media Relations.

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SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorized to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence of the operating business of SIX Swiss Exchange Ltd, SIX Corporate Bonds Ltd and SIX Repo Ltd is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement, responsible for the monitoring of trading.
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SIX

SIX operates and develops infrastructure services in the areas of securities, payment transactions and financial information with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss financial center. The company is owned by its users (127 banks). With a workforce of some 4,000 employees and a presence in 23 countries, it generated operating income in excess of CHF 1.9 billion and group net profit of CHF 207.2 million in 2017.
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