

Media Release

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Investigation against Cicor Technologies Ltd

SIX Exchange Regulation Ltd is opening an investigation against Cicor Technologies Ltd for a possible violation of provisions regarding ad hoc publicity.

SIX Exchange Regulation Ltd opened an investigation against Cicor Technologies Ltd on 12 December 2018 for a possible violation of provisions regarding ad hoc publicity.

The investigation was initiated in connection with a possible information leak on the occasion of the publication of the half-year report 2018.

The duration of the investigatory proceedings is undetermined. SIX Exchange Regulation Ltd will inform on the outcome of the proceedings. No information will be provided about the ongoing investigatory proceedings.

If you have any general questions, please do not hesitate to contact Julian Chan, Deputy Head Media Relations.

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SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

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SIX

SIX operates and develops infrastructure services in the areas of securities, payment transactions and financial information with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss financial center. The company is owned by its users (127 banks). With a workforce of some 4,000 employees and a presence in 23 countries, it generated operating income in excess of CHF 1.9 billion and group net profit of CHF 207.2 million in 2017.

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