

## Media Release

14 November 2018

**SIX Exchange Regulation Ltd**  
Hardturmstrasse 201  
P.O. Box  
CH-8021 Zurich  
[www.six-exchange-regulation.com](http://www.six-exchange-regulation.com)

Media Relations:  
T +41 58 399 2227  
[pressoffice@six-group.com](mailto:pressoffice@six-group.com)

### Investigation against Addex Therapeutics Ltd

**SIX Exchange Regulation Ltd is opening an investigation against Addex Therapeutics Ltd for possible violations of regular reporting obligations and provisions regarding ad hoc publicity.**

SIX Exchange Regulation Ltd, which is entrusted with tasks including the supervision and enforcement of issuers' obligations, opened an investigation against Addex Therapeutics Ltd on 14<sup>th</sup> November 2018 for possible violations of regular reporting obligations and provisions regarding ad hoc publicity.

The investigation was initiated following the issuer's failure to make several required reports using Connexor Reporting, the electronic reporting platform intended for that purpose, since April 2017. The issuer failed to submit financial reports and omitted to report resolutions of the GMS, changes to weblinks and the date of the extraordinary GMS 2018.

The opening of the investigation is also related to a suspected lack of information in accordance with the provisions regarding ad hoc publicity on the appointment of two additional members of the board of directors at the ordinary GMS held on 20 June 2018.

The duration of the investigatory proceedings is undetermined. SIX Exchange Regulation Ltd will inform on the outcome of the proceedings. No information will be provided about the ongoing investigatory proceedings.

If you have any questions, please do not hesitate to contact Jürg Schneider, Media Relations.

Telefon: +41 58 399 2129

E-Mail: [pressoffice@six-group.com](mailto:pressoffice@six-group.com)

**SIX Exchange Regulation Ltd** performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation Ltd imposes sanctions in so far as it is authorized to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation Ltd's independence of the operating business of SIX Swiss Exchange Ltd, SIX Corporate Bonds Ltd and SIX Repo Ltd is guaranteed by its direct subordination to the Chairman of the

Board of Directors of SIX Group. SIX Exchange Regulation Ltd consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement, responsible for the monitoring of trading.

[www.six-exchange-regulation.com](http://www.six-exchange-regulation.com)

**SIX** operates and develops infrastructure services in the areas of securities, payment transactions and financial information with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss financial center. The company is owned by its users (127 banks). With a workforce of some 4,000 employees and a presence in 23 countries, it generated operating income in excess of CHF 1.9 billion and group net profit of CHF 207.2 million in 2017.

[www.six-group.com](http://www.six-group.com)