

Media Release

27 September 2018

SIX Exchange Regulation Ltd

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Investigation against RELIEF THERAPEUTICS Holding Ltd

SIX Exchange Regulation Ltd. is opening an investigation against RELIEF THERAPEUTICS Holding Ltd. for possible violations of regular reporting obligations.

SIX Exchange Regulation Ltd., which is in charge of supervision and enforcement of issuers' obligations, among other things, opened an investigation against RELIEF THERAPEUTICS Holding Ltd. on 27 September 2018 for possible violations of regular reporting obligations.

The opening of the investigation is related to the General Meeting of Shareholders (GMS) of 29 June 2018 and failure to make the required reports for it using Connexor Reporting, the electronic reporting platform provided to that purpose. The issuer failed to report the date of the GMS, the date of closure of the share register, the invitation to the GMS and the resolutions of the GMS.

The duration of the investigatory proceedings is not fixed. SIX Exchange Regulation Ltd. will inform the public of the outcome of the proceedings. No information will be provided about the ongoing investigatory proceedings.

If you have any questions, please do not hesitate to contact Jürg Schneider, Media Relations.

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SIX Exchange Regulation Ltd performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation Ltd imposes sanctions in so far as it is authorized to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation Ltd's independence of the operating business of SIX Swiss Exchange Ltd, SIX Corporate Bonds Ltd and SIX Repo Ltd is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation Ltd consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement, responsible for the monitoring of trading.

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SIX operates and develops infrastructure services in the areas of securities, payment transactions and financial information with the aim of raising efficiency, quality and innovative capacity across the entire value chain of the Swiss financial center. The company is owned by its users (127 banks). With a workforce of some 4,000 employees and a presence in 23 countries, it generated operating income in excess of CHF 1.9 billion and group net profit of CHF 207.2 million in 2017.

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