



Media Release

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SIX Exchange Regulation appoints new heads of trading supervision and issuer regulation

The Board of Directors of SIX has selected Sabir Sheikh to head up issuer regulation and Jared Bibler to be in charge of trading supervision at SIX Exchange Regulation. The Swiss Financial Market Supervisory Authority (FINMA) has approved the selection. This means that the Management Committee of SIX Exchange Regulation, led by Corinne Riguzzi, is now fully staffed.

SIX Exchange Regulation is responsible for trading oversight and issuer regulation at all trading venues of SIX. It thereby fulfills the regulatory responsibilities delegated to it by Swiss legislators under the self-regulation regime. SIX Exchange Regulation is independent of the trading venues it regulates both in terms of staff and organizationally. It reports directly to the Chairman of the Board of Directors of SIX. SIX Exchange Regulation comprises two units: Listing & Enforcement (regulation and monitoring of issuers) and Surveillance & Enforcement (regulation and monitoring of participants and dealers).

Sabir Sheikh has been appointed as Head Listing & Enforcement. Aged 44, Mr Sheikh is a Swiss lawyer and has an LL.M. in Banking and Finance Law (King's College, London). Most recently he worked for nine years at UBS AG in Asset Management, where he led a team of specialized lawyers as Head Legal from 2012. Prior to that, he spent seven years working as a lawyer at a Zurich law firm.

Jared Bibler has been appointed as Head Surveillance & Enforcement. Mr Bibler, aged 43 and from Iceland, is a CFA Charterholder and also studied engineering at MIT. From 2009 to 2012 he worked for the Icelandic Financial Supervisory Authority and the Icelandic Office of the Special Prosecutor, where he led investigations in connection with Iceland's banking crisis. He subsequently worked for Deloitte Forensic in Zurich.

Dr Romeo Lacher, Chairman of the Board of Directors of SIX: "SIX Exchange Regulation makes an important contribution to ensuring the integrity of the Swiss financial sector. It also plays a key role in the implementation of new financial market regulations. I am delighted that we have two experienced experts on board who will be able to bring their skills to bear on forthcoming projects to the benefit of the Swiss financial industry and of its participants."



Should you have any questions, please feel free to contact Stephan Meier, Head Media Relations.

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SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission. SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

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SIX

SIX operates the infrastructure underpinning the Swiss financial sector and offers a comprehensive range of services around the world in the fields of securities trading and settlement, financial information and payment transactions. The company is owned by its users (approximately 130 banks of various orientation and size). Its workforce of over 4,000 employees and presence in 25 countries throughout the world generated operating income of CHF 1.8 billion and a Group net profit of CHF 221.1 million in 2016.

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