



Media Release

18 June 2015

SIX Exchange Regulation
SIX Swiss Exchange Ltd
Selnaustrasse 30
P.O. Box 1758
CH-8021 Zurich
www.six-exchange-regulation.com

Media Relations:
T +41 58 399 2227
F +41 58 499 2710
pressoffice@six-group.com

SIX Swiss Exchange fines LifeWatch AG

The SIX Swiss Exchange Sanction Commission has fined LifeWatch AG CHF 100,000 for breaches of the rules on ad hoc publicity.

According to the provisions on ad hoc publicity, an issuer must inform the market of any potentially price-sensitive facts arising in the course of its business activities as soon as it itself becomes aware of the main points of such information. If the company has issued any public forecasts in relation to financial information, it must correct these by means of an ad hoc notice as soon as it is aware that its financial results are likely to significantly deviate from the forecasts. In such a case, the company must issue a so called profit warning.

As the net profit for the financial year 2013, which LifeWatch AG had forecasted via ad hoc notice, deviated significantly from the effectively disclosed net profit for the financial year 2013, the company must have issued a profit warning. As LifeWatchAG did not comply with this obligation, the rules on ad hoc publicity are violated. At the same time the Sanction Commission decided that LifeWatch AG had not breached the rules regarding the publication of the annual report 2013 and the annual financial statement 2013.

Furthermore, the Sanction Commission decided that LifeWatch AG had violated the rules on ad hoc publicity once more by removing an ad hoc notice prematurely from its website: According to the provisions on ad hoc publicity, ad hoc notices must remain available on the issuer's website for a period of two years.

For these two breaches of the rules on ad hoc publicity, the Sanction Commission has fined LifeWatch AG CHF 100,000. In considering the sanction, the Sanction Commission has taken into account the gravity of the breach, the degree of fault and the sensitivity of LifeWatch AG to a penalty, and the fact that no other sanctions have been imposed on the company in the preceding three years.



Should you have any questions, please feel free to contact Jürg Schneider, Media Relations.

Phone: +41 58 399 2129
Fax: +41 58 499 2710
E-mail: pressoffice@six-group.com

SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

www.six-exchange-regulation.com

Sanction Commission

The Sanction Commission can impose sanctions in the case of violations of the Rule Books of SIX Swiss Exchange and SIX Structured Products Exchange, the Listing Rules and the Additional Rules. It is composed of between five and eleven members. The Chairman of the Sanction Commission and half of its members are elected by the Regulatory Board, with the remaining members appointed by the Board of Directors of SIX.

SIX

SIX operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 140 banks of various size and orientation) and, with its workforce of more than 4,000 employees and presence in 25 countries, generated an operating income of 1.8 billion Swiss francs and a Group net profit of CHF 247.2 million in 2014.

www.six-group.com