



## Media Release

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**SIX Exchange Regulation**  
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### **Amendment to Disclosure Office Notice I/13 regarding companies not domiciled in Switzerland that have their main listing on SIX Swiss Exchange**

**Following the revision to Art. 20 para. 1 of the Swiss Stock Exchange Act, which came into effect on 1 May 2013, the application of the disclosure rules has been broadened to include companies not domiciled in Switzerland whose equity securities have their main listing at least in part on a Swiss stock exchange.**

In the case of companies domiciled in Switzerland, the total number of voting rights as entered in the Commercial Register is used as a basis for calculating whether a threshold value pursuant to Art. 20 para. 1 of the Stock Exchange Act has been triggered. Since foreign companies are not entered in a Commercial Register in Switzerland, they themselves must publish the current total of issued equity securities and the associated voting rights (Art. 53b SESTO). The SIX Swiss Exchange Disclosure Office issued Notice I/13 on 30 April 2013, which explains the ways in which this information may be published.

The Directive on Regular Reporting Obligations for Issuers of Equity Securities, Bonds, Conversion Rights, Derivatives and Collective Investment Schemes (Regular Reporting Obligations Directive, DRRO) takes effect on 1 December 2014. Notice I/13 dated 30 April 2013 has been amended in line with the DRRO: from 1 December 2014, companies not domiciled in Switzerland must disclose the current total of issued equity securities and the associated voting rights to SIX Exchange Regulation as a regular reporting obligation. The information received by SIX Exchange Regulation will be published on its website [http://www.six-exchange-regulation.com/admission/listing/equities/issuer\\_list\\_en.html](http://www.six-exchange-regulation.com/admission/listing/equities/issuer_list_en.html).

Should you have any questions, please feel free to contact Stephan Meier, Media Relations.

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**SIX Exchange Regulation**

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

[www.six-exchange-regulation.com](http://www.six-exchange-regulation.com)

**SIX**

SIX operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 140 banks of various size and orientation) and, with its workforce of more than 3,700 employees and presence in 24 countries, generated an operating income of 1.58 billion Swiss francs and a Group net profit of CHF 210.2 million in 2013.

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