



Media Release

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SIX Exchange Regulation
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Greater transparency

Improved insight into shareholder structures

On 1 October 2012, SIX Exchange Regulation introduced a new function regarding published notifications for significant shareholders of listed companies. It is now possible to query the currently disclosed shareholder structure for any company listed on SIX Swiss Exchange at the click of a mouse. Previously, disclosure notifications were only sorted chronologically by issuer, date or investor. This new function ensures that the disclosure of shareholdings will remain transparent in future despite there being over 1,000 new notifications every year. The reason for this change was the related amendment made to FINMA's Stock Exchange Ordinance as at 1 January 2012.

You can query significant shareholders via the following link:

http://www.six-exchange-regulation.com/obligations/disclosure/major_shareholders_en.html

Should you have any questions, please feel free to contact Dr Alain Bichsel, Head Media Relations.

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SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

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SIX operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 150 banks of various size and orientation) and, with its workforce of more than 3,900 employees and presence in 25 countries, generated an operating income of 1.26 billion Swiss francs and a Group net income of CHF 218.6 million in 2011.

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