



**COMMUNIQUÉ NO. 5/2011
OF 27 OCTOBER 2011**

Use of trader ID in the exchange system via the Capacity Trading Interface (CTI)

Entry into force: 27 October 2011

I. BACKGROUND

The Surveillance & Enforcement monitoring unit of SIX Swiss Exchange / Scoach Switzerland has reason to believe that the "externalTraderID" attribute of the Capacity Trading Interface (CTI) is not being used correctly by all participants.

II. INFORMATION FROM SURVEILLANCE & ENFORCEMENT

Based on Art. 4.3.2 para. 3 of the SIX Swiss Exchange / Scoach Switzerland Rule Book, the designated use of the "externalTraderID" attribute of the CTI is as follows:

- To enter the correct trader ID of the trader who has made the actual entry in the exchange system;
- If no trader ID is entered, the participant must ensure that the trader who has made the actual entry in the exchange system is the same as the trader registered in the exchange system.

If there is a need for a participant to take action in this respect, the participant is requested to change the designated use of the "externalTraderID" by **no later than 31.12.2011** in line with this message.

Specification and manuals on the Capacity Trading Interface (CTI):

https://www.six-swiss-exchange.com/member_section/it/manuals.html

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